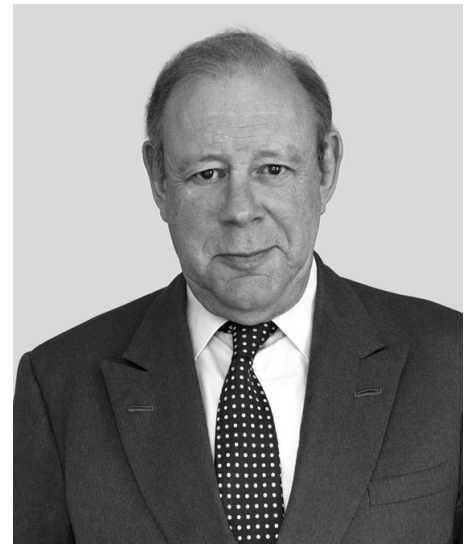


David Swinstead

Year of Call: 1970

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Overview

David Swinstead works exclusively as a legal assessor/adviser for five health regulators and for two accountancy bodies, including the Medical Practitioners Tribunal Service, the General Dental Council, the General Chiropractic Council, the General Optical Council, the Nursing and Midwifery Council, the Association of Accounting Technicians and the Chartered Institute of Public Finance and Accountancy.

After having had a common law practice principally in the areas of personal injury, clinical negligence and criminal law and after having had some fifteen years as a legal assessor, he has developed considerable experience and expertise in professional regulatory law.

Professional qualifications & appointments

Legal Adviser:

- The General Dental Council
- The General Optical Council

Legal Assessor:

- The Medical Practitioners Tribunal Service (General Medical Council)
- The General Chiropractic Council
- The Nursing and Midwifery Council
- The Association of Accounting Technicians
- The Chartered Institute of Public Finance & Accountancy
- Formerly Legal Assessor for the General Osteopathic Council

Professional bodies

- Western Circuit

Public and Regulatory

David is now a full time legal assessor/adviser, utilising the skills honed by previously practising at the Bar in these areas.

He presently acts for the following

- Legal assessor - the Association of Accounting Technicians
- Legal assessor - the Chartered Institute of Public Finance and Accounting
- Legal assessor - the General Chiropractic Council
- Legal adviser - the General Dental Council
- Legal assessor - the Medical Practitioners Tribunal Service
- Legal adviser - the General Optical Council
- Legal assessor - the Nursing and Midwifery Council

Formerly

- Legal assessor - the General Osteopathic Council

David's former Bar Practice included:

- Personal Injury: Claimant and Defendant work including, in particular, accidents at work, road accidents and maritime accidents
- Clinical Negligence: Claimant work covering a number of areas including orthopaedic, obstetric, vascular, respiratory, general practice and dental cases
- Professional Negligence: Claimant work covering solicitors and surveyors negligence
- Criminal: involving both Prosecution and Defence work; including murder / manslaughter cases, assaults, robbery and burglary cases, white-collar crime including frauds, thefts / dishonesty by professional advisers, employees and others in positions of trust

Appointments:

General Medical Council/Medical Practitioners Tribunal Service

Acted as a Legal Assessor since May 2002, and was formally appointed in October 2002. Formerly acted as Legal Assessor for the Professional Conduct Committee, the Committee on Professional Performance and the Interim Orders Committee. After the change in the legislation, acted as the Legal Assessor for the Fitness to Practise Panels (now Tribunals) with regard to conduct, health, registration and performance, and has also acted for the Interim Orders Panel/Tribunal regularly and the Investigation Committee on a number of occasions.

David has acted in a number of relatively high profile cases including

P (2002)

A case of conduct in which Queen's Counsel appeared for both the GMC and the doctor and which involved complex issues of law and fact including matters concerning the sterilisation of young women with disabilities.

W (2003/4)

A performance case which lasted for 41 days where the doctor was unrepresented but was receiving outside legal advice and in which he raised countless matters of law, in particular, concerning the European Convention on Human Rights.

P (2005)

A high-profile case involving Queen's Counsel on both sides where complex issues of law were argued and, during the hearing, counsel for the doctor sought and obtained a stay of the proceedings to seek judicial review of the decisions of the

Panel. During the application for judicial review, the Learned Judge approved the advice that David had given to the Panel which it had, for good reasons, decided to reject; there was, in fact, in the end, no judicial review hearing and the matter did not proceed.

C (2006)

A high-profile case concerning matters involving the doctor's fertility clinic, involving Queen's Counsel on both sides in which a submission was made and upheld at the conclusion of the Council's case that there was "no case to answer".

R (2008/09)

Another 40-day performance case where the doctor parted company with two separate barristers instructed to represent her. She was then represented by her adopted son and then she represented herself; the case had a number of complex evidential and procedural issues to resolve.

S (2010)

Involved an unusual issue where the application by the defence was that the hearing be stayed on health grounds. Depending upon the decision of the Panel, there was also the possibility of an application for voluntary erasure. There was no precedent as to how such issues should be decided or the order in which they should be resolved. The advice David gave and the determinations in this case were used as precedents in the subsequent case involving the doctor in the "Baby P" case.

General Dental Council

Acted as a Legal Adviser (Assessor) since January 2004. David has acted for the Health Committee, the Professional Conduct Committee and the Interim Orders Committee.

David has acted in a number of relatively complex cases including

B (2008)

This was a case where the dentist and his counsel parted company. Unfortunately, the case had had to be adjourned for two months whilst the dentist was still giving evidence, and it was upon the hearing reconvening that this occurred. There were therefore a number of procedural and evidential issues that had to be resolved to allow the dentist to be released from his oath and to obtain alternative representation. When the case restarted with a new barrister representing him a number of the GDC witnesses had to be recalled to be further cross-examined before the dentist gave further evidence.

I (2010)

Another case where the dentist and his counsel parted company. In this case there were further complications because of an interim order imposed by the Committee itself, which prevented the dentist from working for a period which meant that he was unable to fund alternative counsel. In the end, this problem was resolved and the case restarted with his being represented and with most of the GDC's witness being recalled. There were a number of evidential problems, in particular with regard to the large number of expert witnesses called, as to their standing and their evidence. This required a very detailed advice from David on the question of expert witnesses.

In both the case of the GMC/MPTS and the GDC, the names of the cases can be provided.

General Osteopathic Council

Acted as a Legal Assessor between 2004 and 2015 for the Investigation Committee and the Professional Conduct Committee.

General Chiropractic Council

Legal Assessor since 2006 and has acted for the Professional Conduct Committee.

General Optical Council

Legal Assessor since 2007 and has acted for the Fitness to Practise Committee.

Nursing and Midwifery Council

Appointed as a Legal Assessor in 2007. David has acted for the Conduct and Competence Committee, the Health Committee and the Investigation Committee when considering Interim Orders.

Association of Accounting Technicians

Legal Assessor for the Disciplinary Tribunal since 2009.

Chartered Institute and Public Finance and Accountancy

Legal Assessor for the Investigating Committee and the Disciplinary Committee since 2013.

David has also held the positions of:

- Member of the Legal Services Funding Review Panel, 1997-2007
- Consistory Examiner for the Diocese of Winchester, 1993-2003.

Articles

Legal Assessors' Hints and Tips on Case Preparation and Advocacy

Four of 3PB's specialist regulatory law barristers and legal assessors [David Swinstead](#); [Peter Jennings](#); [Jane Rowley](#) and [Lachlan Wilson](#) have joined forces to create an article with detailed advice on case preparation and advocacy for legal professionals.

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